

12/29/00
3966 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. NTL-3.2.168/3635
First Inventor Brian B. EGAN et al
Title CALL TRACE ON A PACKET SWITCHED NETWORK
Express Mail Label No. EL694432825US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
- Oath or Declaration [Total Pages 2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☒ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/135 or its equivalent.
- ☒ Other Check: \$1,018.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

Name COBRIN & GITTES
Address 750 Lexington Avenue - 21st Floor
City New York State NY Zip Code 10022
Country USA Telephone 2124864000 Fax 212486-4007

Name (Print/Type)	Robert J. Hess	Registration No. (Attorney/Agent)	32,139
Signature	<i>Robert J. Hess</i>	Date	12/29/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001						Complete if Known																																																						
<i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>						Application Number		To be assigned																																																				
						Filing Date		Concurrently herewith																																																				
						First Named Inventor		Brian B. EGAN, et al																																																				
						Group Art Unit		To be assigned																																																				
						Examiner		To be assigned																																																				
TOTAL AMOUNT OF PAYMENT			(\$) 1,018.00			Attorney Docket Number		NTL-3:2.168/3635																																																				
METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)																																																						
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 03-2317 Deposit Account Name: COBRIN & GITTES <input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17						3. ADDITIONAL FEES																																																						
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other						Large Entity		Small Entity																																																				
						Code	(\$)	Code	(\$)																																																			
FEE CALCULATION						105	130	205	65	Surcharge - Late filing fee or oath																																																		
						127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																		
1. BASIC FILING FEE						139	130	139	130	Non-English specification																																																		
						147	2,520	147	2,520	Request for Reexamination																																																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="padding: 5px;">Large Entity</th> <th colspan="2" style="padding: 5px;">Small Entity</th> <th colspan="2" style="padding: 5px;"></th> </tr> <tr> <th style="padding: 5px;">Code</th> <th style="padding: 5px;">(\$)</th> <th style="padding: 5px;">Code</th> <th style="padding: 5px;">(\$)</th> <th style="padding: 5px;">Fee Description</th> <th style="padding: 5px;">Fee Paid</th> </tr> <tr> <td style="padding: 5px;">101</td> <td style="padding: 5px;">710</td> <td style="padding: 5px;">201</td> <td style="padding: 5px;">355</td> <td style="padding: 5px;">Utility filing fee</td> <td style="padding: 5px;">\$ 710.00</td> </tr> <tr> <td style="padding: 5px;">105</td> <td style="padding: 5px;">320</td> <td style="padding: 5px;">206</td> <td style="padding: 5px;">160</td> <td style="padding: 5px;">Design filing fee</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">107</td> <td style="padding: 5px;">490</td> <td style="padding: 5px;">207</td> <td style="padding: 5px;">245</td> <td style="padding: 5px;">Plant filing fee</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">109</td> <td style="padding: 5px;">710</td> <td style="padding: 5px;">208</td> <td style="padding: 5px;">355</td> <td style="padding: 5px;">Reissue filing fee</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">111</td> <td style="padding: 5px;">150</td> <td style="padding: 5px;">214</td> <td style="padding: 5px;">75</td> <td style="padding: 5px;">Provisional filing fee</td> <td style="padding: 5px;"></td> </tr> <tr> <td colspan="4" style="padding: 5px; text-align: right;">SUBTOTAL (1)</td> <td colspan="2" style="padding: 5px;">\$ 710.00</td> </tr> </table>						Large Entity		Small Entity				Code	(\$)	Code	(\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	\$ 710.00	105	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		109	710	208	355	Reissue filing fee		111	150	214	75	Provisional filing fee		SUBTOTAL (1)				\$ 710.00		112	920*	112	920*	Req. publication of SIR prior to Examiner action		
						Large Entity		Small Entity																																																				
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SUBTOTAL (1)				\$ 710.00																																																								
2. EXTRA CLAIM FEES						113	1,840	113	1,840	Requesting publication of SIR after Examiner action																																																		
						115	110	215	55	Extension within first month																																																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="padding: 5px;">Extra Claim</th> <th colspan="2" style="padding: 5px;">Fee below</th> <th colspan="2" style="padding: 5px;">Fee Paid</th> </tr> <tr> <td style="padding: 5px;">Total Claims</td> <td style="padding: 5px;">26</td> <td style="padding: 5px;">-20 =</td> <td style="padding: 5px;">6</td> <td style="padding: 5px;">x</td> <td style="padding: 5px;">9 or 18</td> </tr> <tr> <td style="padding: 5px;">Indep. Claims</td> <td style="padding: 5px;">5</td> <td style="padding: 5px;">-3 =</td> <td style="padding: 5px;">2</td> <td style="padding: 5px;">x</td> <td style="padding: 5px;">40 or 80</td> </tr> <tr> <td colspan="4" style="padding: 5px;">Multiple Dependent</td> <td style="padding: 5px;">130</td> <td style="padding: 5px;">\$</td> </tr> </table>						Extra Claim		Fee below		Fee Paid		Total Claims	26	-20 =	6	x	9 or 18	Indep. Claims	5	-3 =	2	x	40 or 80	Multiple Dependent				130	\$	116	390	216	195	Extension within second month																										
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Indep. Claims	5	-3 =	2	x	40 or 80																																																							
Multiple Dependent				130	\$																																																							
** or number previously paid, if greater; for reissues, see below						117	890	217	445	Extension within third month																																																		
						118	1,390	218	695	Extension within fourth month																																																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="padding: 5px;">Large Entity</th> <th colspan="2" style="padding: 5px;">Small Entity</th> <th colspan="2" style="padding: 5px;"></th> </tr> <tr> <th style="padding: 5px;">Code</th> <th style="padding: 5px;">(\$)</th> <th style="padding: 5px;">Code</th> <th style="padding: 5px;">(\$)</th> <th style="padding: 5px;">Fee Description</th> <th style="padding: 5px;"></th> </tr> <tr> <td style="padding: 5px;">103</td> <td style="padding: 5px;">18</td> <td style="padding: 5px;">203</td> <td style="padding: 5px;">9</td> <td style="padding: 5px;">Claims in excess of 20</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">102</td> <td style="padding: 5px;">80</td> <td style="padding: 5px;">202</td> <td style="padding: 5px;">40</td> <td style="padding: 5px;">Independent claims in excess of 3</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">104</td> <td style="padding: 5px;">270</td> <td style="padding: 5px;">204</td> <td style="padding: 5px;">135</td> <td style="padding: 5px;">Multiple dependent claim, if not paid</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">109</td> <td style="padding: 5px;">80</td> <td style="padding: 5px;">209</td> <td style="padding: 5px;">40</td> <td style="padding: 5px;">**Reissue independent claims over original patent</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">110</td> <td style="padding: 5px;">18</td> <td style="padding: 5px;">210</td> <td style="padding: 5px;">9</td> <td style="padding: 5px;">**Reissue claims in excess of 20 and over original patent</td> <td style="padding: 5px;"></td> </tr> <tr> <td colspan="4" style="padding: 5px; text-align: right;">SUBTOTAL (2)</td> <td colspan="2" style="padding: 5px;">(\$)268.00</td> </tr> </table>						Large Entity		Small Entity				Code	(\$)	Code	(\$)	Fee Description		103	18	203	9	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	270	204	135	Multiple dependent claim, if not paid		109	80	209	40	**Reissue independent claims over original patent		110	18	210	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)				(\$)268.00		119	310	219	155	Notice of Appeal		
						Large Entity		Small Entity																																																				
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SUBTOTAL (2)				(\$)268.00																																																								
Other fee (specify) _____						120	310	220	155	Brief in support of an appeal																																																		
						121	270	221	135	Request for oral hearing																																																		
*Reduced by Basic filing fee Paid SUBTOTAL (3)						138	1,510	138	1,510	Petition to institute a public use proceeding																																																		
						140	110	240	55	Petition to revive - unavoidable																																																		
SUBMITTED BY						141	1,210	241	605	Petition to revive - unintentional																																																		
						142	1,240	241	620	Utility issue fee (or reissue)																																																		
Typed or Printed Name Robert J. Hess						143	440	243	220	Design issue fee																																																		
						144	600	244	300	Plant issue fee																																																		
Signature <i>Robert J. Hess</i>						122	130	122	130	Petitions to the Commissioner																																																		
						123	50	123	50	Petitions related to provisional applications																																																		
Date December 29, 2000						126	240	126	240	Submit Info. Disclosure Stmt																																																		
						581	40	581	40	Patent Assignment per property		\$ 40.00																																																
Complete (if applicable)						146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																		
						149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))																																																		
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents, Washington, DC 20231.						SUBTOTAL (3) \$ 40.00																																																						
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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Brian B. Egan et al.
	Title	Call Trace on a Packet Switched Network
	Atty Docket Number	NTL-3.2.168/3635

Jc929 U.S. PTO
09/751796
12/29/00

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12-28-00

Date



Signature

Robert Hess

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

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